





Dr. Kirsten Jörgensen Environmental Policy Research Centre Freie Universität Berlin <u>Kirsten.joergensen@fu-berlin.de</u>

Governing climate change in India and Europe – opportunity structures of multi-level governance

Presentation for the Spring Campus 2016
Workshop "Climate Governance in International Comparison"



Multi-level governance: empirical trends

Ceding of power to supranational organizations (Europeanization) (Hooghe and Marks)

Political decentralization in both developing and old industrialized countries (Hooghe and Marks)

Reduction of the size of government and shift to new forms of governance

Types of problems cause increasing interdependencies between policy levels: *climate change*



Multi-level governance: analytical concepts



- Solve a public problem (common not private good)
- involvement of public and private collective actors
- Involvement of general-purpose or functional jurisdictions (e.g. joint board) that enjoy some degree of autonomy within a common governance arrangement
- Processes (political negotiations, coalition building, lobbying, persuasion...)

(Zürn et al. 2010)



Prominence of multi-level governance in the context of climate change



Epoch 1 thinking:

Hierarchical government top-down approach

Epoch 2 thinking:

Decentralized approach, subnational states and local levels constitute the primary loci of *governance*

Epoch 3 thinking:

Coping with interdependencies and policy overlap through multi-level governance?

Betsill & Rabe 2009: 2002



Multi-level climate governance provides opportunity structure



- Multi-level reinforcement (for EU context: Schreurs Tiberghien 2007, 2010),
- Offer multiple access points for leadership: pioneering member states (for EU context: Andersen, Liefferink 1997), supra-national actors,
- International comparative research: facilitate policy experimentation and diffusion of best practices
- Cross-sectoral and multi-actor structure provides additional opportunities to address a broad variety of cobenefits (Jänicke forthcoming)

Exploring multi-level climate governance in India



Co-benefits: Do India's states' climate action plans combine renewable energy policy objectives with the generation of desired effects in other policy areas /sectors simultaneously?

Do they experiment with initiatives driven by regional context and requirements?

Is the scale and scope of the proposed action either ambitious or cautiously incremental?

(Jörgensen, Mishra, Sarangi 2015)





India's federal states and urban areas











59,2% of the population live on less than 2,00 \$ per day (World Bank 2014)

300 million people no access to electricity

India represents 17% of the world's population/ produces 6% of worldwide CO2 emissions

70 % of CO2 generated in the energy sector

India will likely overtake China in the next decade "as the primary source of growth in global energy demand" (Bloomberg 2015)

de-carbonization: India's energy emissions per GDP higher than that of the EU but lower than that of the US





Multi-level climate policy in India

Climate Convention, BASIC Countries, Bi-lateral Cooperation

Union Government: *NAPCC 2008: 15% of the total energy from renewable energy sources by 2020.*

INDC 2015: 40% share of non-fossil fuels in the installed electricity mix by 2030, 100 GW Solar capacity by 2030

29 State governments: Climate action plans (SAPCC) 19 plans published in 2015, Promoting solar and wind Energy efficiency

Panchayats

4000 cities

Private a. public actors



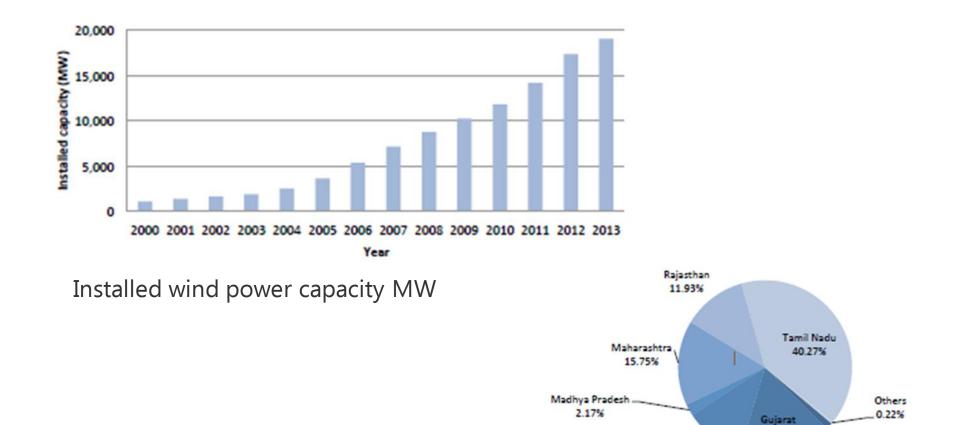
India



17.10%

Karnataka

11.14%



State wise RE distribution

Sources: GSI Research Report 3/2014 (GWEC (2012b); Indian Wind Energy Association (InWEA) (2012)).



Andhra Pradesh

1.41%

Experimentation and co-benefits of renewable energy measures



Table 1. Renewable energy in states in India: resource profile, applications and policy framework.

State	RE resource focus in SAPCC	Specific RE application identified in SAPCC	State level RE policy framework/Policy directives
Chhattisgarh	Solar, wind, waste to energy	Irrigation/Energisation of pump sets; village electrification through DDG	Biogas policy 2014–15Chhattisgarh solar policy 2012Policy directives on allotments of sites and incentives to small hydel projects 2012Wind energy policy 2002
Haryana	Solar, wind, biomass, small hydro, waste to energy	Irrigation/Energisation of pump sets	Policy for promoting generation of electricity through renewable energy sources 2005Har- yana solar power policy 2005
Jharkhand	Solar, biomass, small hydro, waste to energy	Waste to energy	Jharkhand energy policy 2012 Jharkhand solar policy 2013
Karnataka	Solar, wind, biomass, small hydro, waste to energy	Irrigation/Energisation of pump sets; waste to energy	Karnataka solar policy 2014–2021 Karnataka renewable energy policy, 2009–2014
Kerala	Solar, wind, biomass, waste to energy	Irrigation/Energisation of pump sets; waste to energy; village electrifi- cation through DDG	Kerala solar energy policy 2013Wind energy policy 2004Renewable energy policy 2002
Madhya Pradesh	Solar, wind, biomass	Village electrification through DDG	Policy for promotion of solar power based projects in Madhya Pradesh 2012Wind

Jörgensen, Mishra, Sarangi 2015



Co-benefits: renewable energy in non-energy sectors



Table 2. Cross sector spread of renewable energy interventions in SAPCCs.

State	Agriculture, forestry, fisher- ies and coastal area manage- ment	Industrial sector	Urban develop- ment	Transport	Energy	Tourism	Sustainable habitat
Chhattis-	1	-	3	2	6	_	-
garh							
Haryana	_	_	_	_	20	_	
Jharkhand	3	2	_	_	6	_	_
Karnataka	2	_	1	_	2	_	_
Kerala	6	_	2	_	14	1	_
Madhya	3	2	_	_	15	_	_
Pradesh							
Odisha	_	_	_	2	7	_	_
Tamil Nadu	3	_	_	_	1	_	10
West Bengal	2	1	1	-	-	_	_

Jörgensen, Mishra, Sarangi 2015



Multi-level governance lens makes sense



- Deployment of wind power and solar energy: variation of state policies and outcomes, states experiment with individual approaches tailored to regional specifics
- Variation in subnational Climate Action Plans scope of proposed action cautiously incremental
- States take advantage of co-benefits related to economic and development assets

(Jörgensen, Mishra, Sarangi 2015)





Literature

- Michele M. Betsill and Barry G. Rabe, 2009. Climate Change and Multi-level Governance: the Evolving State and Local Roles. In: Daniel A Mazmanian and Michael E. Kraft (eds), Towards Sustainable Communities. Transitions and Transformations in Environmental Policy. Cambridge/London: MIT Press, p. 201-225.
- Fairbrass, J., Jordan, A. (2004), Multi-level Governance and Environmental Policy, in, Bache, I., Flinders, M., Multi-level Governance, Oxford University Press, New York, 148-164.
- Hooghe, L., Marks, G., Schakel, A.H., 2010, The rise of regional authority. A comparative study of 42 democracies. Routledge, London.
- Hooghe, L., G. Marks (2010). "Types of Multilevel Governance." In: Handbook on Multilevel Governance. Eds. H. Enderlein, S. Wälti and M. Zürn. Cheltenham, Edward Elgar: 17-31.
- Jörgensen, Kirsten; Mishra, Arabinda; Sarangi, Gopal K. (2015): Multi-level climate governance in India: the role of the states in climate action planning and renewable energies. In: *Journal of Integrative Environmental Sciences* 12 (4), S. 267–283.
- Jordan, A.J., van Asselt, H.v., Berkhout, F., Huitema, D. and Rayner, T. (2012) Understanding the paradoxes of multi-level governing: Climate change policy in the European Union. Global Environmental Politics, 12, 2, 41-64.
- Liefferink, D. and Andersen, M. S. (1998) 'Strategies of the 'Green' Member States in EU Environmental Policy-Making', Journal of European Public Policy, 5(2), 254–70.
- Ostrom, Elinor, 2010, Nested externalities and polycentric institutions: mus we wait for global solutions to climate change before taking actions at other scales?
- Schreurs, Miranda and Yves Tiberghien. 2010. "Multi-Level Reinforcement: Explaining European Union Leadership in Climate Change Mitigation," in Harrison, Kathryn and Lisa Sundstrom eds, Global Commons, Domestic Decisions: The Comparative Politics of Climate Change. Boston: MIT Press. Pp 22-66.
- Stephenson, P., 2013, Twenty years of multi-level governance: 'Where Does It Come From? What Is It? Where Is It Going?' Journal of European Public Policy, 20:6, 817-837, DOI: 10.1080/13501763.2013.781818

